## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**INVENTORS:** 

John R. Hind

James M. Mathewson II

Marcia L. Peters

APPLICATION NO.

Not Yet Assigned

FILED:

Herewith

CASE:

RSW920010017US1

TITLE:

IDENTIFICATION AND TRACKING OF PERSONS

**USING RFID-TAGGED ITEMS** 

## CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence, along with any papers indicated as being enclosed, are being deposited as Express Mail, (Label No. EL663032099US), postage prepaid, in an envelope addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231, on May 3, 2001.

5/31/01

Date

Svlvia Prawl

BOX PATENT APPLICATION Commissioner for Patents Washington, DC 20231

Information Disclosure Statement Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98

Sir:

Pursuant to Applicants' duty of disclosure set forth in 37 CFR §§1.56, 1.97 and 1.98, the following information is disclosed to aid the Examiner in his or her examination of the application. The inclusion of information in this Disclosure Statement and as set forth on the attached Forms PTO-1449 is not necessarily a concession that such information is prior art to the present invention or that such information is in an art area analogous to the present invention. Copies of the publications listed on the attached Forms PTO-1449 are submitted herewith.

## Attorney Docket No. RSW920010017US1

It is respectfully requested that the Examiner initial Forms PTO-1449 and return a copy of same upon consideration of these publications.

Since this Information Disclosure Statement is being filed prior to the mailing date of a first Office Action on the merits, no fee or certification is required.

Respectfully submitted,

Date 5/3/01

Esther H. Chong

Reg. No. 40,953 Synnestvedt & Lechner LLP

2600 Aramark Tower

1101 Market Street

Philadelphia, PA 19107-2950

(215) 923-4466

M:\EChong\IBM\24727\PTO\IDS transmittal.wpd

## Express Mail No. EL663032099US Sheet 1 of 2

Form PTO-1449 Modified			Docket No. RSW920010017US1	Application No. Not Yet Assigned		0 =
List of Patents and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office			Applicant(s) John R. Hind James M. Mathewson II Marcia L. Peters			09/847889
			Filing Date Herewith	Group N/A		Ţ,
		U.S. PA	TENT DOCUM	ENTS		
Examiner Initials		Document No.	Date	Name	Class	Subclass
	AA	5,995,015	11/30/99	DeTemple et al.	340	825.49
	AB					
	AC					
	AD					
	AE			-		
	AF		:			
	AG					
	AH					
	AI					i'
	AJ				<u></u>	
	T		PATENT DOCU			
Examiner Initials		Document No.	Date	Country		nslation
					Yes	No
	AK					
	AL AM					
	AN					
	AO					
	AP					
	AQ					
	1 12	<u> </u>		<u> </u>	<u> </u>	1,

Attorney Docket No. RSW920010017US Express Mail No. EL663032099US Sheet 2 of 2

OTHER (including Author, Title, Date, Pertinent Pages, etc.)					
	AR	Co-pending U.S. Application No. 09/790,104, filed 2/21/01, entitled "Method to Address Security and Privacy Issues of the Use of RFID Systems to Track Consumer Products", and invented by Hind et al.; cited on Page 2 of present application			
	AS	Co-pending U.S. Application No. 09/814,350, filed 3/22/01, entitled "Method and System for Providing Personalized Store-Issued Coupons Prior to Shopping", and invented by Dickson et al.; cited on Page 13 of present application			
	АТ	Co-pending U.S. Application No. 09/836,963, filed 4/18/01, entitled "Method and System for Providing Targeted Advertising in Public Places and Carriers", and invented by Peters et al.; cited on Page 14 of present application			
	AU				
	AV				
	AW				
	AX				
	AY				
Examiner		Date Considered			